

## CYCLIC CARBONATES FORMED DURING PERFORMIC ACID OXIDATION OF ATRACTYLIGENIN

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**Abstract**—The performic oxidation of the kaurenic double bond of atractyligenin and derivatives affords cyclic carbonates between the 15 $\alpha$ -OH and 16 $\alpha$ -OH or the 16 $\alpha$ -OH and 17-OH. Their formation is quite unusual and novel for natural substrates.

We have reported the oxidation of atractyligenin (1a)<sup>1</sup> and diacetyl-atractyligenin (1b)<sup>2</sup> with performic acid, followed by alkaline hydrolysis at room temperature, as a method for the preparation of the tetrol 2. We observed that the raw material before alkaline hydrolysis showed a strong, unidentified carbonyl band at 1800 cm<sup>-1</sup>, therefore we decided to reinvestigate this reaction on the methylesters 1c and 1d.

Three crystalline products were isolated from the oxidation of atractyligenin methylester (1c) with performic acid. From spectroscopic evidence (experimental), the products were assigned structures 3a, 3b and 3c, all having a 5-ring cyclic carbonate ester between the 15 $\alpha$ -OH and 16 $\alpha$ -OH hydroxy groups; alkaline hydrolysis of these products gave the tetrol 2. Product 3c was also prepared by treatment of 3a or 3b with formic acid.

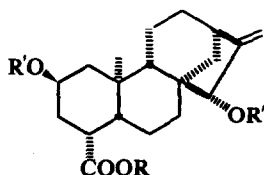
Oxidation of diacetyl-atractyligenin methyl-

ester (1d) with performic acid gave two products which were assigned structures 4 and 5. 5-Ring cyclic carbonate esters are therefore present between 16 $\alpha$ -OH and 17-OH in 4 and between 16 $\alpha$ -OH and 15 $\alpha$ -OH in 5. Both products were hydrolyzed to the tetrol 2.

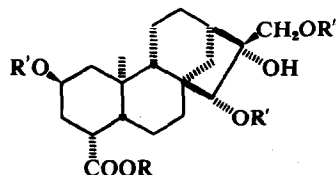
The structure of 4 was confirmed by OsO<sub>4</sub> oxidation of 1d to the diacetyl-tetrol methylester 6 and phosgene carbonation of the latter: the product obtained was identical with 4 from the performic oxidation. This also proved the configuration of 4 at C-16, as it is known that OsO<sub>4</sub> hydroxylates the kaurene skeleton from the less hindered  $\alpha$  side.

As expected, treatment of the epoxyderivative of atractyligenin methylester (7a) with performic acid yielded the above described carbonates 3a, 3b and 3c.

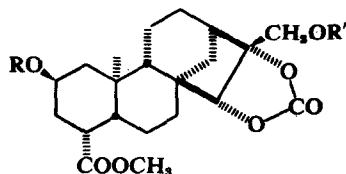
Product 7a was easily prepared by treatment of



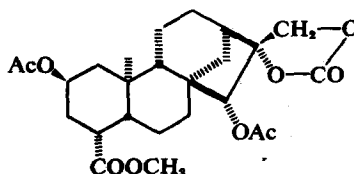
- 1a: R = H R' = H  
1b: R = H R' = Ac  
1c: R = CH<sub>3</sub> R' = H  
1d: R = CH<sub>3</sub> R' = Ac



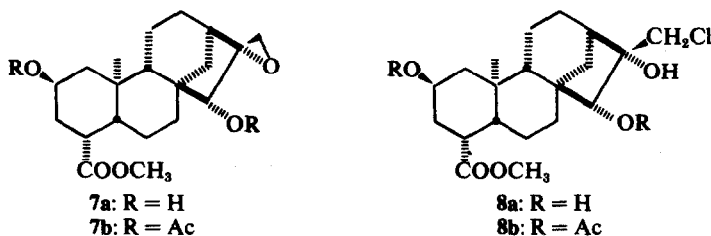
- 2: R = H R' = H R'' = H  
6: R = CH<sub>3</sub> R' = Ac R'' = H  
12: R = CH<sub>3</sub> R' = H R'' = H  
13: R = CH<sub>3</sub> R' = Ac R'' = CHO



- 3a: R = H R' = H  
3b: R = CHO R' = H  
3c: R = CHO R' = CHO  
5: R = Ac R' = H



4



SCHEME 1

1c with *p*-nitroperbenzoic acid in ether. Treatment of 1c with perbenzoic acid in  $\text{CHCl}_3$  always gave minor quantities of 7a and the chloroderivative 8a as main product; clearly, some hydrogen chloride from the hydrolysis of  $\text{CHCl}_3$  cleaved the epoxy ring of 7a in an  $\text{S}_{\text{N}}2$  manner to give 8a.

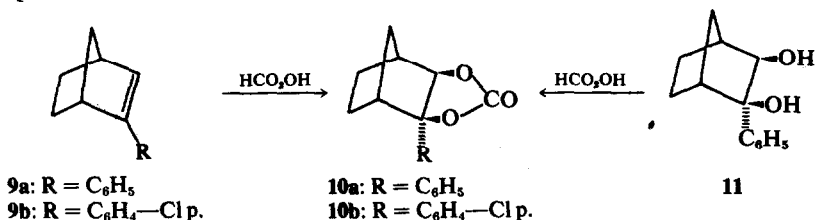
Analogously, treatment of epoxyderivative 7b with performic acid gave carbonates 4 and 5. Product 7b was prepared by epoxidation of 1d with *p*-nitroperbenzoic acid.

The formation of cyclic carbonates during oxidation with performic acid is rather unusual and must involve the oxidation of a formate ester to a carbonate. As far as we know, there is only one precedent to such behaviour: Kleinfelter and Schleyer<sup>3</sup> reported the transformation of 2-phenyl-norbornene (9a) and 2-*p*-chlorophenyl-norbornene (9b) into the related cyclic carbonates 10a and 10b by reaction with performic acid.

Kleinfelter and Schleyer also observed that performic acid transformed diol 11 into carbonate 10a. Indeed, when submitted to treatment with performic acid, tetrol methylester 12 gave the above described cyclic carbonates 3a, 3b and 3c; no carbonate ester was observed between the 17-OH and the 16 $\alpha$ -OH. Here the carbonate ester is believed to originate from the formylation of the 15 $\alpha$ -OH and subsequent cyclisation and oxidation.

Treatment of epoxyderivative 7b with formic acid yielded primary formyl ester 13; we believe that the ring opening originally formed a 16 $\alpha$ -OCHO derivative, whose formyl group migrated from the tertiary to the primary hydroxyl group through an orthoformate intermediate. Product 13 was also prepared by esterification of 6 by formic acid.

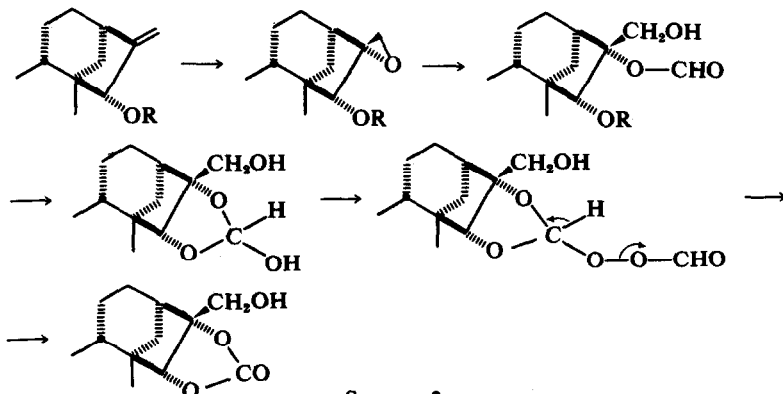
No carbonate was obtained during the oxidation of diketoattractyligenin methylester (14) with



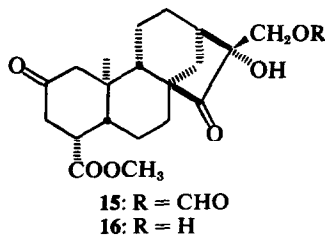
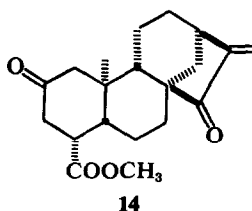
Kleinfelter and Schleyer suggested a reaction pathway, application of which, as shown in the following scheme, accounts for our obtaining 3a, 3b, 3c and 5; the reaction giving 4 follows the same pathway but the 16 $\alpha$ -OCHO group attacks the 17-OH instead of the 15 $\alpha$ -OH.

performic acid: the only product isolated with the 17-formyl derivative 15. The latter product was also prepared by treatment of diketodiols 16 with formic acid.

The results reported here indicate that the hydroxylation of the kaur-16-en-15 $\alpha$ -ol double



SCHEME 2



bond gives a system of coplanar  $16\alpha$  and  $15\alpha$  oxygenated functions suitable for closure to a cyclic carbonate. The formation of a  $16\alpha,17$ -carbonate seems less likely because the related hydroxyl groups are not rigidly coplanar.

#### EXPERIMENTAL

M.p.: capillary tubes, uncorrected. IR: Nujol mull, Perkin Elmer Infracord 137. NMR: ( $\delta$ ) 60 MHz, Jeol C60-H, TMS internal standard. MS: Hitachi Perkin Elmer RMU-6D or Perkin Elmer 270 (courtesy of Dr. A. Selva, Institute of Chemistry, Polytechnic School, Milano). Chromatography: 0.05–0.20 mm Merck silica gel.

*Atractyligenin methylester (1c) and performic acid: carbonates 3a, 3b and 3c.* 1c (1 g) in 99% formic acid (20 ml) was heated with stirring to  $50^\circ$  and 36%  $H_2O_2$  (4 ml) added. The solution was stirred at  $50^\circ$  for 1 h, left overnight at room temp, added to water (75 ml) and ether extracted. The residue from distillation of the ether was chromatographed on silica gel (40 g), eluting with benzene-AcOEt 9:1, 8:2 and 7:3 mixtures.

The benzene-AcOEt 9:1 fraction gave a trace of a product, m.p.  $218^\circ$  (from AcOEt); MS: 450 ( $M^+$ ), 404, 372, 344; IR:  $1800\text{ cm}^{-1}$  (5-ring cyclic carbonate),  $1725\text{ cm}^{-1}$  ( $COOCH_3$  and  $O-CHO$ ). The substance proved identical (m.m.p., IR, MS) with the product obtained by heating 3a or 3b with formic acid, and was attributed structure 3c.

The benzene-AcOEt 8:2 fraction yielded 200 mg of a product, m.p.  $205-206^\circ$  (from AcOEt); (Found: C, 62.72; H, 7.29.  $C_{22}H_{30}O_8$  requires: C, 62.54; H, 7.16%); MS: 422 ( $M^+$ ), 404, 394, 390, 376, 344; IR:  $3545\text{ cm}^{-1}$  (OH),  $1795\text{ cm}^{-1}$  (5-ring cyclic carbonate),  $1725\text{ cm}^{-1}$  ( $COOCH_3$  and  $O-CHO$ ); NMR (acetone- $d_6$ ): 1.00 (s,  $10\alpha-CH_3$ ), 3.68 (s,  $COOCH_3$ ), 3.93 (d,  $J$  5.8 Hz,  $CH_2OH$ ), 4.45 (t,  $J$  5.8 Hz,  $CH_2OH$ ), 4.44 (d,  $J$  1.5 Hz, H-15), 5.48 (tt,  $J_{ax,ax}$  11.5 Hz,  $J_{ax,eq}$  4.8 Hz, axial H-2), 8.10  $\delta$  (d,  $J$  1 Hz, CHO); on addition of  $D_2O$ , the signal at 4.45 disappeared and the doublet at 3.93 collapsed to a singlet. Therefore the product was assigned structure 3b.

The benzene-AcOEt 7:3 fraction gave 400 mg of a product, m.p.  $248^\circ$  (from AcOEt); (Found: C, 63.64; H, 7.90.  $C_{21}H_{30}O_7$  requires: C, 63.94; H, 7.66%); MS: 394 ( $M^+$ ), 376, 362, 344; IR:  $3450\text{ cm}^{-1}$  (OH),  $1795\text{ cm}^{-1}$  (5-ring cyclic carbonate),  $1700\text{ cm}^{-1}$  ( $COOCH_3$ ); NMR (acetone- $d_6$ ): 0.93 (s,  $10\alpha-CH_3$ ), 3.63 (s,  $COOCH_3$ ), 3.93 (d,  $J$  5.5 Hz,  $CH_2OH$ ), 3.49 (d,  $J$  5 Hz,  $2\beta-OH$ ), 4.20 (m,  $2\alpha-H$ ), 4.44 (t,  $J$  5.5 Hz,  $CH_2OH$ ), 4.38 (d,  $J$  2 Hz, H-15); on addition of  $D_2O$  the signals at 3.49 and 4.44 disappeared and the doublet at 3.93 collapsed to a singlet. The product was assigned structure 3a.

Carbonates 3a or 3b (20 mg) were refluxed with 99% formic acid (2 ml) for 3 h; the solution was evaporated

to dryness under reduced pressure giving 3c, m.p.  $218^\circ$  (from AcOEt).

The carbonates 3a or 3b (20 mg) were refluxed with 10% ethanolic KOH (5 ml) for 6 h; the solution neutralized with 50% sulphuric acid and evaporated, the residue was crystallized from water yielding tetrol 2, m.p.  $246-248^\circ$ , identified by m.m.p. and IR.<sup>1,2</sup>

*Diacetyl-atractyligenin methylester (1d) and performic acid: carbonates 4 and 5.* Methylester 1d (1 g) was treated as described above for 1c. Chromatography on silica gel (40 g), eluent benzene-AcOEt 85:15, yielded two main products: minor quantities of other substances could not be isolated and were neglected.

The first product (200 mg) was isolated as an oil: it was homogeneous on TLC, but it could not be crystallized. MS: 478 ( $M^+$ ), 435, 418, 403, 386, 358.  $C_{25}H_{34}O_9$  requires 478.52; IR:  $1800\text{ cm}^{-1}$  (5-ring cyclic carbonate),  $1725\text{ cm}^{-1}$  (COOR),  $1240\text{ cm}^{-1}$  ( $CH_3COOR$ ); NMR ( $CDCl_3$ ): 0.95 (s,  $10\alpha-CH_3$ ), 2.01 and 2.10 (s,  $CH_3COO$ ), 3.66 (s,  $COOCH_3$ ), 4.24 (broad s, H-15), 4.40 (two-lines signal, separation 5 Hz,  $-CH_2-O-$ ), 5.25 (m, H-2). The substance was therefore assigned structure 4.

The second product (200 mg) was isolated as an amorphous, exceedingly soluble solid: it was homogeneous on TLC but it could not be crystallized. MS: 436 ( $M^+$ ), 393, 376, 344, 316.  $C_{25}H_{32}O_8$  requires 436.49; IR:  $3350\text{ cm}^{-1}$  (OH),  $1810\text{ cm}^{-1}$  (5-ring cyclic carbonate),  $1725\text{ cm}^{-1}$  (COOR),  $1250\text{ cm}^{-1}$  ( $CH_3COOR$ ); NMR ( $CDCl_3$ ): 0.94 (s,  $10\alpha-CH_3$ ), 2.02 (s,  $CH_3COO$ ), 3.66 (s,  $COOCH_3$ ), 3.92 (s,  $CH_2OH$ ), 4.34 (s, H-15), 5.30 (m, H-2). The substance was attributed structure 5.

By refluxing with ethanolic KOH as described above, carbonates 4 and 5 yielded tetrol 2, m.p.  $246-248^\circ$ .

*Osmium tetroxide oxidation of (1d): diacetyltetrol methylester (6).* A solution of diacetyl-atractyligenin methylester<sup>2</sup> (1d) (420 mg) and  $OsO_4$  (250 mg) in  $CH_2Cl_2$  (15 ml) was left at room temp for 12 days.  $H_2S$  was bubbled through: after filtering the precipitate, the solution was evaporated. The residue underwent repeated chromatography on silica gel (eluent benzene-AcOEt 95:5) yielding diacetyltetrol methylester (6) (60 mg), m.p.  $145^\circ$  (from AcOEt); (Found: C, 63.85; H, 8.13.  $C_{24}H_{34}O_8$  requires: C, 63.70; H, 8.02%); MS: 434 (M-18), 421 (M-31), 392 (M-60), 374, 361, 314, 304, 301; IR:  $3380\text{ cm}^{-1}$  (OH),  $1725\text{ cm}^{-1}$  (COOR),  $1250\text{ cm}^{-1}$  ( $CH_3COOR$ ).

*Diacetyltetrol methylester (6) and phosgene: carbonate 4.* Excess of a solution of phosgene in toluene was added dropwise to a solution of diacetyltetrol methylester (6) (50 mg) in anhydrous pyridine (5 ml) at  $-15^\circ$ . After 24 h the solution was diluted with water and ether extracted: the extract was washed with dilute HCl, dried and evaporated giving an oily residue (one component on TLC) which did not crystallize even after silica gel chromatography. The product proved to be identical (TLC, IR, NMR, MS) with carbonate 4 obtained by performic acid

oxidation of diacetyl-atractyligenin methylester (1d) (above).

*p*-Nitroperbenzoic acid oxidation of atractyligenin methylester (1c): epoxyderivative 7a. *p*-Nitroperbenzoic acid (0.55 g) was added to a solution of 1c (1 g) in ether (100 ml). After 24 h at room temp, the solution was washed with  $\text{NaHCO}_3$  aq, dried and evaporated; the residue was chromatographed on silica gel (20 g), eluent benzene-AcOEt 4:1, to purify product 7a from minor amounts of unreacted 1c: m.p. 150° (from cyclohexane), yield 750 mg; (Found: C, 68.45; H, 8.75.  $\text{C}_{20}\text{H}_{30}\text{O}_5$  requires: C, 68.54; H, 8.63%); MS: 350 ( $\text{M}^+$ ), 335, 332, 317, 314, 299; IR: 3585 and 3470  $\text{cm}^{-1}$  (OH), 1720  $\text{cm}^{-1}$  ( $\text{COOCH}_3$ ); NMR ( $\text{CDCl}_3$ ): 0.93 (s,  $10\alpha\text{-CH}_3$ ), 3.05 (s,  $\text{CH}_2$  in epoxy ring), 3.45 (s, H-15), 3.66 (s,  $\text{COOCH}_3$ ), 4.75 (m, H-2).

*Perbenzoic acid oxidation of atractyligenin methylester (1c): epoxyderivative 7a and chloroderivative 8a.* A solution of 1c (1 g) in  $\text{CHCl}_3$  (50 ml) was treated with a  $\text{CHCl}_3$  solution (20 ml) of perbenzoic acid (about 0.6 g). After 48 h at room temp, solvent was evaporated and the residue taken up in ether: the solution was washed with  $\text{NaHCO}_3$  aq, and evaporated. The soft residue gave two crystalline substances after chromatography on silica gel (20 g), eluent benzene-AcOEt.

The more polar product (50 mg), m.p. 150° (from cyclohexane), was identical (m.m.p., IR, NMR) with epoxyderivative 7a obtained by *p*-nitroperbenzoic acid oxidation of 1c (above).

The less polar product (200 mg), m.p. 241° (from AcOEt) was attributed structure 8a. (Found: C, 62.31; H, 8.24; Cl, 9.35.  $\text{C}_{20}\text{H}_{31}\text{O}_5\text{Cl}$  requires: C, 62.09; H, 8.08; Cl, 9.16%); MS: 388 and 386 ( $\text{M}^+$ ), 373 and 371, 370 and 368, 350; IR: 3300 and 3200  $\text{cm}^{-1}$  (OH), 1725  $\text{cm}^{-1}$  ( $\text{COOCH}_3$ ); NMR (pyridine- $d_5$ ): 0.98 (s,  $10\alpha\text{-CH}_3$ ), 3.66 (s,  $\text{COOCH}_3$ ), 3.72 (broad, H-15), 4.00 (s,  $\text{C-CH}_2\text{Cl}$ ), 4.80 (broad m, H-2). The substance gave diacetate 8b by treatment with  $\text{Ac}_2\text{O}$  and pyridine, m.p. 147–149° (from cyclohexane); NMR ( $\text{CDCl}_3$ ): 0.94 (s,  $10\alpha\text{-CH}_3$ ), 2.03 and 2.15 (s,  $\text{CH}_3\text{COO}$ ), 3.67 (s,  $\text{COOCH}_3$ ), 3.74 (s,  $\text{CH}_2\text{Cl}$ ), 4.82 (s, H-15), 5.30 (m, H-2).

*Cleavage of epoxyderivative 7a by HCl: chloroderivative 8a.* Dry HCl was bubbled into a cold solution of epoxyderivative 7a (50 mg) in  $\text{CHCl}_3$  (20 ml). The solution was left for 24 h at room temp and evaporated; the residue gave 40 mg of chloroderivative 8a, m.p. 241° (from AcOEt), identical (m.m.p., IR, NMR) with the product described above.

*p*-Nitroperbenzoic acid oxidation of diacetyl-atractyligenin methylester (1d): epoxyderivative 7b. *p*-Nitroperbenzoic acid (0.15 g) was added to 1d (0.25 g) in ether (100 ml). After 24 h at room temp, the solution was washed with  $\text{NaHCO}_3$  aq., dried and evaporated; the residue was chromatographed on silica gel (5 g) eluting with benzene-AcOEt 85:15. Product 7b was obtained as an amorphous, exceedingly soluble solid; it was homogeneous on TLC but it would not crystallize. MS: 434 ( $\text{M}^+$ ), 419, 374, 359, 314, 299.  $\text{C}_{24}\text{H}_{34}\text{O}_7$  requires 434.51.

*Formate ester 13.* (a) Diacetyltetrol methylester (6) (10 mg) was refluxed with 99% formic acid for 3 h; the solution was evaporated to dryness under reduced pressure and the residue crystallized, giving 13, m.p. 145° (from ethyl ether); (Found: C, 62.02; H, 7.42.  $\text{C}_{23}\text{H}_{36}\text{O}_9$  requires: C, 62.48; H, 7.55%); MS: 420 ( $\text{M}^+$ ), 374, 361, 314; IR: 3400  $\text{cm}^{-1}$  (OH), 1720  $\text{cm}^{-1}$  (COOR), 1245  $\text{cm}^{-1}$  ( $\text{CH}_3\text{COO}$ ).

(b) Epoxyderivative 7b (50 mg) was dissolved in 99% formic acid (2 ml). The solution was left overnight at room temp, diluted with water (10 ml) and ether extracted. The product, m.p. 145° (from ether), was identical to the above described. NMR ( $\text{CDCl}_3$ ): 0.94 (s,  $10\alpha\text{-CH}_3$ ), 2.04 and 2.12 (s,  $\text{CH}_3\text{COO}$ ), 3.65 (s, H-15), 3.70 (s,  $\text{COOCH}_3$ ), 4.20 and 4.40 ( $q_{\text{AB}}$ ,  $J$  12 Hz,  $\text{CH}_2\text{-O-CHO}$ ), 5.40 (m, H-2), 8.13 (broad s, CHO).

*Epoxyderivative 7a and performic acid: carbonates 3a, 3b and 3c.* The epoxyderivative 7a (0.3 g) dissolved in 99% formic acid (8 ml) was heated at 50° with stirring and treated with 36%  $\text{H}_2\text{O}_2$  (1.3 ml) as described above for the oxidation of 1c with performic acid. Chromatography on silica gel yielded traces of 3c, 40 mg of 3b and 90 mg of 3a, which were found to be identical (m.m.p., IR) with the products obtained from 1c.

*Epoxyderivative 7b and performic acid: carbonates 4 and 5.* The epoxyderivative 7b (50 mg) dissolved in 99% formic acid (2 ml) was treated at 50° with three drops of 36%  $\text{H}_2\text{O}_2$  as described above. By chromatography small amounts of 4 and 5 were isolated, identical (IR, TLC) with the products obtained from 1d.

*Tetrol methylester 12 and performic acid: carbonates 3a, 3b and 3c.* A solution of tetrol methylester<sup>2</sup> 12 (0.4 g) in 99% formic acid (20 ml) heated to 50° was added with stirring to 36%  $\text{H}_2\text{O}_2$  (4 ml), as described above for the oxidation of 1c with performic acid. By chromatography on silica gel traces of 3c, 60 mg of 3b and 130 mg of 3a were isolated, identical (m.m.p., IR) with the products obtained from 1c.

*Formate ester 15.* (a) A cold solution of diketoatractyligenin methylester<sup>1</sup> (14) (300 mg) in 99% formic acid (5 ml) was treated with 36%  $\text{H}_2\text{O}_2$  (0.1 ml) and left overnight at room temp. Pouring on ice gave a precipitate of starting product 14 (150 mg); ether extraction of the solution yielded an oil which solidified when moistened with AcOEt, m.p. 246–248° (from AcOEt), yield 50 mg. (Found: C, 64.47; H, 7.26.  $\text{C}_{21}\text{H}_{28}\text{O}_9$  requires: C, 64.27; H, 7.19%); MS: 392 ( $\text{M}^+$ ), 361, 346; IR: 3350  $\text{cm}^{-1}$  (OH), 1730, 1718, 1695  $\text{cm}^{-1}$  (CO); NMR ( $\text{CDCl}_3$ ): 0.92 (s,  $10\alpha\text{-CH}_3$ ), 3.62 (s,  $\text{COOCH}_3$ ), 4.11 and 4.57 ( $q_{\text{AB}}$ ,  $J$  11 Hz,  $\text{CH}_2\text{OCHO}$ ), 8.07 (s, CHO). Hence the product was assigned structure 15.

The above oxidation gave an unresolvable mixture of products when performed at 50°: neither unaltered 14 nor 15 were detected by TLC.

(b) The diketodiol methylester 16 was prepared as previously described;<sup>1</sup> NMR ( $\text{CDCl}_3$ ): 0.93 (s,  $10\alpha\text{-CH}_3$ ), 3.66 (s,  $\text{COOCH}_3$ ), 3.63 and 4.00 ( $q_{\text{AB}}$ ,  $J$  11.5 Hz,  $\text{CH}_2\text{-OH}$ ). The product (20 mg) was refluxed with 99% formic acid (2 ml) for 3 h; the solvent removed under vacuum and the residue crystallized from AcOEt, m.p. 246–247°, identical (m.m.p., IR, TLC) with product 15 obtained as described above.

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